CHICAGO TRANSIT AUTHORITY

Federal Transit Administration (FTA) Overall DBE Goal-Setting Methodology for FFY 2019-2021
# Table of Contents

Goal Overview and Methodology........................................................................................................... 2

*Step 1: Base Figure Calculation*........................................................................................................... 3

*Step 2: Adjusting The Base Figure*........................................................................................................ 5

*Final Step: Calculating The Overall Goal*............................................................................................ 5

Published Public Notices.......................................................................................................................... 9

Email for the Scheduled Assist Agencies Conference Call...................................................................... 14

Public Comment Open House Flyer......................................................................................................... 16

Public Comment Open House Attendance Sheets.................................................................................. 17

Public Comments....................................................................................................................................... 21
CHICAGO TRANSIT AUTHORITY (CTA)
DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM
Goal Setting Methodology and Calculations

Federal Fiscal Years (FFY) 2019, 2020 & 2021
(October 1, 2018 through September 30, 2021)
Submittal Date October 10, 2018
GOAL OVERVIEW

Pursuant to the U.S. Department of Transportation (DOT) rules and regulations provided in 49 CFR Part 26 (DBE Regulations), the CTA proposes an overall DBE goal that is applicable to the CTA's DOT-assisted contracting opportunities (excluding the purchase of transit vehicles) for FFY 2019 through 2021. For those federal fiscal years, the CTA projects to spend a total of $253,129,402 of FTA funds (see Table 1 for the breakdown of anticipated FTA funds by work category). The proposed overall goal for DBE participation is 26% or $65,813,645 of the projected FTA funds that will be subject to DBE participation requirements as demonstrated by the calculation show below.

\[
26\% \times \$253,129,402 = \$65,813,645.
\]

USDOT-ASSISTED CONTRACTING PROGRAM FOR FFY 2019 - 2021

The CTA has adopted a comprehensive transit improvement plan that will provide faster, more comfortable and more reliable transportation services for our customers throughout the service area, which supports the CTA's overall mission to deliver quality, affordable transit services that link people, jobs and communities. This plan, however, is not as robust as past plans due to the lack of a current state capital program. The last capital bill that the State of Illinois passed was in 2009, which CTA used to improve infrastructure, technology, and rolling stock.

The CTA is currently conducting a disparity study to review its DBE program, which is scheduled to be completed in November 2018. The CTA will review the results of the disparity study and submit an amended goal if necessary.

Capital projects included among the FFY 2019 - 2021 work categories:

- General Engineering Consultant Services on various contracts
- Red Line Extension Program Manager
- Construction Management Consultant Services on various contracts
GOAL METHODOLOGY

Pursuant to Section 26.45(b), the overall goal must be based upon demonstrable evidence of relative availability of DBEs in the CTA’s geographic and product markets. Accordingly, the CTA proposes an overall goal for DBE participation that is consistent with the CTA’s historical spending patterns and with the amount of DBE participation the CTA expects to achieve in its local market. The following sections, and the accompanying tables, explain the process that was followed to calculate the 26% proposed overall DBE goal for FFY 2019-2021.

The CTA is currently conducting a disparity study analyzing the CTA’s federally-funded capital spending for FFY 2012-2017. The CTA will use the disparity study to amend its overall goal for FFY 2019-2021 if the results of the study warrant it.

The majority of the CTA’s vendor pool is located in Cook County, therefore the CTA determined Cook County to be its local market.

STEP ONE: BASE FIGURE CALCULATION

Section 26.45(c) requires the measurement of ready, willing and able businesses in the CTA’s local market, using the best available evidence to derive a fair and accurate base figure that represents the percentage of DBEs. One of the methods recommended in the DBE Regulations for determining the base figure involves accessing information from DBE Directories and Census Bureau Data ($26.45(c)(1)). The following describes the base figure calculation based on these sources.

The CTA determined the number of ready, willing and able DBEs in our local market by identifying the DBE businesses in the Illinois Unified Certification Program (IL UCP) DBE Directory including their North American Industrial Classification Codes (NAICS) representing the work most likely required on CTA projects for FFY 2019-2021. The CTA identified the relevant NAICS codes to apply to the FFY 2019-2021 capital projects by reviewing the majority of the CTA projects funded by the CTA’s current (FFY 2016-2018) federally-funded capital budget. Utilizing the Census Bureau’s County Business Pattern (CBP) the CTA identified available DBE and non-DBE firms in its local market with those same NAICS codes. This allowed CTA to determine available firms that could serve as potential vendors. The number representing all DBEs and the number representing all firms were then used to determine the percentage of ready, willing and able “DBE firms to all firms” ratio for each NAICS code category (see Table 2).

<table>
<thead>
<tr>
<th>Work Category</th>
<th>Estimated Dollar Value</th>
<th>Percent of Federal Funding by Work Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Construction</td>
<td>$10,015,810</td>
<td>4.0%</td>
</tr>
<tr>
<td>Professional Services</td>
<td>$228,506,143</td>
<td>90.3%</td>
</tr>
<tr>
<td>Supplies</td>
<td>14,607,449</td>
<td>5.7%</td>
</tr>
<tr>
<td>Total</td>
<td>$253,129,402</td>
<td>100.0%</td>
</tr>
</tbody>
</table>
Table 2: Base Figure Calculation

<table>
<thead>
<tr>
<th>NAICS Code</th>
<th>NAICS Code</th>
<th>Category</th>
<th>DBE Firms Ratio based on NAICS Code</th>
<th>Estimated Value of Work</th>
<th>Estimated Percentage of Work</th>
<th>Weighted x DBE Firms Ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>2379</td>
<td>38.0%</td>
<td>Railway Construction (Interlocking, roadbed,</td>
<td>$900,000</td>
<td>0.4%</td>
<td>0.1%</td>
<td></td>
</tr>
<tr>
<td>2381</td>
<td>22.6%</td>
<td>Concrete</td>
<td>$600,000</td>
<td>0.2%</td>
<td>0.1%</td>
<td></td>
</tr>
<tr>
<td>2382</td>
<td>3.2%</td>
<td>Electrical Contractors</td>
<td>$214,700</td>
<td>0.1%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>2382</td>
<td>1.5%</td>
<td>Plumbing, HVAC</td>
<td>$4,139,212</td>
<td>1.6%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>2383</td>
<td>5.2%</td>
<td>Finishes</td>
<td>$3,362,122</td>
<td>1.3%</td>
<td>0.1%</td>
<td></td>
</tr>
<tr>
<td>2389</td>
<td>6.9%</td>
<td>Specialty Trade Contractors</td>
<td>$399,776</td>
<td>0.2%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>3212</td>
<td>0.7%</td>
<td>Wood, Plastic and Composites</td>
<td>$2,511,278</td>
<td>1.0%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>3231</td>
<td>0.9%</td>
<td>Commercial Printing</td>
<td>$100,000</td>
<td>0.0%</td>
<td>0.4%</td>
<td></td>
</tr>
<tr>
<td>3359</td>
<td>7.7%</td>
<td>Misc. Electrical Equip. &amp; Component Manufacturing</td>
<td>$8,200,000</td>
<td>3.2%</td>
<td>0.2%</td>
<td></td>
</tr>
<tr>
<td>4231</td>
<td>0.4%</td>
<td>Automobile Wholesalers</td>
<td>$1,440,000</td>
<td>0.6%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>4236</td>
<td>6.0%</td>
<td>Electrical Equip Wholesalers</td>
<td>$160,000</td>
<td>0.1%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>4234</td>
<td>3.2%</td>
<td>Specialties</td>
<td>$2,296,171</td>
<td>0.9%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>4841</td>
<td>1.3%</td>
<td>General Freight Trucking, Local</td>
<td>$401,238</td>
<td>0.2%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>5112</td>
<td>0.3%</td>
<td>Utility Software</td>
<td>$209,100</td>
<td>0.1%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>5413</td>
<td>28.0%</td>
<td>Professional Services (DoR, CM PM)</td>
<td>$224,525,100</td>
<td>88.7%</td>
<td>24.8%</td>
<td></td>
</tr>
<tr>
<td>5413</td>
<td>12.7%</td>
<td>Testing Laboratories</td>
<td>$750,000</td>
<td>0.3%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5412</td>
<td>0.7%</td>
<td>Accountants’ (i.e., CPAs) offices, certified public</td>
<td>$252,332</td>
<td>0.1%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>5415</td>
<td>5.2%</td>
<td>Computer software consulting services or consultants</td>
<td>$1,698,990</td>
<td>0.7%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>5619</td>
<td>8.2%</td>
<td>Traffic Control</td>
<td>$511,583</td>
<td>0.2%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>5629</td>
<td>21.9%</td>
<td>Abatement</td>
<td>$400,000</td>
<td>0.2%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>6117</td>
<td>16.7%</td>
<td>Educational curriculum development services</td>
<td>$57,800</td>
<td>0.0%</td>
<td>0.0%</td>
<td></td>
</tr>
</tbody>
</table>

**WEIGHTED DBE BASE FIGURE**

26%  

$253,129,402  100.00%  25.52%
As recommended in the DOT’s “Tips for Goal-Setting”, the CTA weighted the percentages by dividing the Budget Amount for each NAICS category by the total projected FTA funds, as shown in column E. The weighted percentage was then multiplied by the percentage derived from the “DBE firms to all firms” ratio and multiplied by 100 for each NAICS code category (column F). The resulting value for each NAICS code category was totaled to determine the overall base figure for the CTA's 3 year DBE utilization of 26%. The base figure calculation weighs the relative availability of DBE contractors against the relative budget amount of contracting opportunities available for the total pool of contractors in Cook County, Illinois. This concludes the Step One calculation for the FFY 2019-2021 overall goal.

**STEP TWO: ADJUSTING THE BASE FIGURE**

Once the base figure of 26% was calculated, the CTA determined it was consistent with historical data and the realities of the market in the Cook County Area. As a result, the CTA did not adjust the base figure. The CTA utilized its DBE goal and actual attainment percentages filed with the FTA between FFY 2015-2017 to ensure that the base calculation was consistent with historical data (see Table 3). This information was taken into consideration because it is a likely indicator of participation on future projects, since the types of goods and services contracted by the CTA remain fairly consistent over time.

Past participation is the most reliable factor the CTA can use in the Step Two adjustment due to the similarity of the CTA’s federally-funded capital spending in FFY 2016-2018 and forecasted federally-funded capital spending in FFY 2019-2021 and accurately reflects the DBE participation the CTA can expect to attain in FFY 2019-2021. Aside from the disparity study the CTA is currently conducting, the CTA does not know of another disparity or other similar studies comparable to the purchase of goods and services that are unique to a large transit agency operating in the Midwest. However, the CTA continues to examine and address business circumstances within its service area for evidence of recent discrimination that would limit participation by certified DBEs in typical CTA purchases.

**Table 3**

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>DBE Goal</th>
<th>DBE Attainment</th>
<th>Race-conscious</th>
<th>Race-neutral</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>25.0%</td>
<td>20.48%</td>
<td>18.04%</td>
<td>2.44%</td>
</tr>
<tr>
<td>2016</td>
<td>26.0%</td>
<td>51.47%</td>
<td>10.17%</td>
<td>41.30%</td>
</tr>
<tr>
<td>2017</td>
<td>26.0%</td>
<td>25.16%</td>
<td>22.14%</td>
<td>3.01%</td>
</tr>
<tr>
<td>Total</td>
<td>77.0%</td>
<td>97.10%</td>
<td>50.35%</td>
<td>46.75%</td>
</tr>
<tr>
<td>Mean</td>
<td>25.7%</td>
<td>32.37%</td>
<td>18.04%</td>
<td>15.58%</td>
</tr>
<tr>
<td>Median</td>
<td>26.0%</td>
<td>25.16%</td>
<td>16.78%</td>
<td>3.01%</td>
</tr>
</tbody>
</table>

**FINAL STEP: CALCULATING THE OVERALL GOAL**

Since the CTA determined that the base figure was consistent with historical data and the realities of the market in the Cook County Area, the result is the CTA DBE goal for FFY 2019-2021 is 26%.

**ANNUAL OVERALL DBE PERCENTAGE GOAL = 26% OF TOTAL ESTIMATED FEDERALLY-FUNDED CAPITAL BUDGET**
OR

26% OF $253,129,402 = $65,813,645 = CTA's FFY 2019-2021 OVERALL DBE GOAL

UTILIZATION OF RACE-NEUTRAL (RN) AND RACE-CONSCIOUS (RC) METHODS

The goal of CTA’s DBE Program is to be primarily a race-neutral initiative that incorporates race-conscious elements, as needed. DOT’s DBE Regulations (49 CFR 26.51) require the CTA to meet the maximum feasible portion of the overall goal by using race-neutral means of facilitating DBE participation. The race-neutral measure or program is one that can be used to assist all businesses. For purposes of this submittal, the definition of Race-Neutral includes gender.

Formula for Calculating Race-Neutral and Race-Conscious Percentages

Step #1: Determine the FFY 2015-2017 average of DBE procurements achieved without contract goals. This is the RN mean.

Step #2: Determine the FFY 2015-2017 average of DBE utilization achieved utilizing DBE contract goals. This is the RC mean.

Step #3: RN mean in FFY 2015-2017 = 15.58% (see Table 3)
           RC mean in FFY 2015-2017 = 16.78% (see Table 3)

          RN median FFY 2015-2017 = 3.01% (see Table 3)
          RC median FFY 2015-2017 = 18.04% (see Table 3)

Step #4: Of the overall goal of 26% DBE participation for FFY 2019-2021 as computed from Steps One and Two the CTA seeks to achieve 3% by Race-Neutral means and the difference of 23% by Race-Conscious means. This is the percentage the CTA can confirm based on the FFY 2015-2017 achievement.

The CTA will exert additional effort to extend outreach to potential prime contractors to ensure subcontracting opportunities are fully promoted prior to resorting to race-conscious contract goals to achieve the projected FFY 2019-2021 overall DBE Goal.

PUBLIC PARTICIPATION IN SETTING OVERALL TRIENNIAL DBE GOALS

In conformance with the “Public Participation Regulatory Requirements” of the 49 CFR Part 26.45, the CTA held a public comment period for the proposed FFY 2019-2021 DBE goal of 26%. The public comment period began on August 31, 2018. CTA received 3 comments regarding the proposed FFY 2019-2021 DBE Goal and has determined that no adjustment was needed.

Outreach to Minority and Women’s Groups

The following minority, women’s, and general contractor support groups were contacted to discuss the CTA's DBE goal as it was being prepared.
Advertisements

The CTA will issue a Public Notice in general circulation media and at least two minority-focused media announcing that the Overall DBE Goal and Methodology for FFY 2019-2021 is available for inspection on the CTA’s website at www.transitchicago.com/dbe. At the conclusion of the 30 day review period, the CTA will evaluate comments on the goal calculation process and adjust the goal if necessary. The CTA advertised its FFY 2019-2021 DBE Legal Notice in the following newspapers:

- Austin Weekly News*
- Chicago Sun-Times
- Chinese American News*
- Hoy*

*Minority newspaper

Written comments on the goal rationale should be addressed to:
Chicago Transit Authority
Diversity Programs Department
567 W. Lake Street
Chicago IL, 60661

Or

diversity@transitchicago.com

DBE PROGRAM HIGHLIGHTS FFY 2016-2018

- Introduced Mentor/Protégé Pilot
- Identified contracts for SBE Program
- Cross Departmental Training
DBE Outreach Participation FFY 2016-2018

- Monthly “CTA Roadshows” at different assist agencies to inform them of contracting opportunities at CTA
- Held monthly “Driving Small Businesses the Distance” workshops
- Held multiple MID-Con DBE Outreach events to connect prime and subcontractors
- DBE Professional Services/Engineering Networking Event
- DBE Workshop – Mediating Conflict
- Green Line Small Business Education
- Your New Blue Small Business Educational Series
- Organized “Battle of the Bids” – 20th Annual Transportation Symposium
- Attended City of Chicago Annual Vendor Fair
- Attended Metra Annual Vendor Fair
- Attended Chicago Metropolitan Supplier Diversity Council – Annual Chicago Business Opportunity Fair
- Attended Chicago Park District Annual Vendor Fair
- Attended Metropolitan Water Reclamation District Annual Professional Services & the Construction Contractor Vendor Outreach Fair

The CTA will continue to expand its outreach efforts to inform DBEs about opportunities in order to ensure and achieve its overall DBE goals.

CONCLUSION

The CTA developed an overall DBE goal of 26% (3% Race-Neutral, 23% Race-Conscious) for FFY 2019-2021 pursuant to 49 CFR 26.45 and respectfully submits this goal to the Federal Transit Administration for consideration.
PUBLIC NOTICES

PUBLIC NOTICE
Chicago Transit Authority (CTA)
Disadvantaged Business Enterprise
(DBE) Program Proposed Overall
Goal & Methodology For Federal
Fiscal Years 2019-2021 and Red
Purple Modernization (RPM) Phase
One Project Goal

This announcement will serve as
notice of the CTA's proposed DBE
overall goal of 25% for United
States Department of Transportation
(USDOT)-assisted contracts for
a three-year period for Federal Fis-
cal Years (FFYs) 2019-2021 and
the RPM Phase One project goal of
20%.

The overall goal is expressed as a
percentage of federal funds CTA
projects to expend each year during
FFYs 2019-2021. The annual goal
methodology used is in accordance
with USDOT regulations entitled
"Participation by Disadvantaged
Business Enterprises in Department
of Transportation Financial Assis-
tance Programs," 49 Code of Fed-
eral Regulations, Part 26. The an-
nual goal is established to achieve a
"level playing field" for DBEs to par-
ticipate in CTA's federally-assisted
contracting opportunities.

CTA's overall DBE goal and meth-
dology report for FFYs 2019-2021
and RPM Phase One project goal
and methodology report will be
made available on the CTA's web-
site (transitchicago.com/dbe) for 30
days from August 31, 2018 - Sep-
tember 30, 2018. The public may re-
view and provide comments on the
overall DBE goal for 30 days. Public
comments will be accepted at the
address and email listed below
starting on August 31, 2018 and
ending on September 30, 2018.

Chicago Transit Authority
Diversity Department
Attn: DBE Liaison Officer
567 West Lake, 4th Floor
Chicago, IL 60661
diversity@transitchicago.com

Published in Austin Weekly News
9/26/2018
Chicago Sun-Times
Certificate of Publication

State of Illinois - County of  
Cook

Chicago Sun-Times, does hereby certify it has published the attached advertisements in the following secular newspapers. All newspapers meet Illinois Compiled Statue requirements for publication of Notices per Chapter 715 ILCS 5/0.01 et seq. R.S. 1874, P728 Sec 1, EFF. July 1, 1874. Amended by Laws 1959, P1494, EFF. July 17, 1959. Formerly Ill. Rev. Stat. 1991, CH100, PI.

Note: Notice appeared in the following checked positions.

**PUBLIC NOTICE**

This announcement will serve as notice of the CTA’s proposed DBE overall goal of 26% for United States Department of Transportation (USDOt)–assisted contracts for a three-year period for Federal Fiscal Years (FFYs) 2019-2021 and the RPM Phase One project goal of 20%. The overall goal is expressed as a percentage of federal funds CTA projects to expend each year during FFYs 2019-2021.

The annual goal methodology used is in accordance with USDOT regulations entitled “Participation By Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs,” 49 Code of Federal Regulations, Part 26. The annual goal is established to achieve a “level playing field” for DBEs to participate in CTA’s federally-aided contracting opportunities.

CTA’s overall DBE goal and methodology report for FFYs 2019-2021 and RPM Phase One project goal and methodology report will be made available on the CTA’s website (transitchicago.com/dbe) for 30 days from August 31, 2018 – September 30, 2018. The public may review and provide comments on the overall DBE goal for 30 days. Public comments will be accepted at the address and email listed below starting on August 31, 2018 and ending on September 30, 2018.

Chicago Transit Authority
Diversity Department
Attn: DBE Liaison Officer
567 West Lake, 4th Floor
Chicago, IL 60651
diversity@transitchicago.com

8/31/18 #1068898

IN WITNESS WHEREOF, the undersigned, being duly authorized, has caused this Certificate to be signed

by

Mary Lou Davis
Account Manager - Public Legal Notices

This 31st Day of August 2018 A.D.
Public Notice

Chicago Transit Authority
Diversity Department
Attn: DBE Liaison Officer
567 West Lake, 4th Floor
Chicago, IL 60661
diversity@transitchicago.com
AVISO PÚBLICO

EL Programa de Empresa Comercial en Desventaja (DBE) de la Autoridad de Tránsito de Chicago (CTA) Objetivo en General Propuesto y Metodología para los Años Fiscales Federales 2019-2021 y el Objetivo del Proyecto Fase Uno Modernización de las líneas Roja y Púrpura (RPM)

Este anuncio servirá como aviso del objetivo general de 26% del DBE propuesto por la CTA para contratos asistidos por el Departamento de Transportación de los Estados Unidos (USDOT) por un período de tres años para los Años Fiscales Federales (FFY) 2019-2021 y el objetivo de 20% del proyecto Fase Uno RPM.

El objetivo general está expresado como un porcentaje de fondos federales que la CTA proyecta utilizar durante los Años Fiscales Federal 2019-2021. La metodología utilizada para este objetivo está de acuerdo con las Regulaciones de USDOT tituladas “La Participación de las Empresas Comerciales en Desventaja en los Programas de Asistencia Financiera del Departamento de Transporte”, Código 49 de Regulaciones Federales Parte 26. El objetivo anual se establece para realizar “igualdad de condiciones” para que las empresas DBE puedan participar en contratos de la CTA con asistencia federal.

El reporte del objetivo general y metodología del DBE de la CTA para los Años Fiscales 2019-2021 y el reporte y metodología del objetivo del Proyecto la Fase Uno RPM estarán disponibles en el sitio web de la CTA (transitchicago.com/dbe) durante 30 días desde el 31 de agosto de 2018 hasta el 30 de septiembre de 2018. El público puede revisar y proporcionar comentarios sobre el objetivo general del DBE durante 30 días. Los comentarios públicos serán aceptados en la dirección y el correo electrónico que figuran a continuación a partir del 31 de agosto de 2018 y terminarán el 30 de septiembre de 2018.

Chicago Transit Authority
Diversity Department
Attn: DBE Liaison Officer
567 West Lake, 4th Floor
Chicago, IL 60661
diversity@transitchicago.com
Hi Natalia:

Attached is the Spanish proof for you advertisement for tomorrow. Please let me know if everything looks ok.

Muchas Gracias!
Maribell Abeja - De Vitto
Quinones, Natalia

Subject: Triennial Goal Discussion with assist agencies (RSVP REQUIRED)
Location: 567-7th-Floor Conf-B-West

Start: Mon 9/10/2018 10:00 AM
End: Mon 9/10/2018 11:00 AM

Recurrence: (none)

Meeting Status: Meeting organizer

Organizer: Quinones, Natalia; Prieto, Juanpablo; mkm@mkmservices.com; fcurry@wbdc.org; jamesvillar@paccgc.org; D.LorenzoPadron@laccusa.com; asoto@ihccbusiness.net; jperez@haciaworks.org; joan@andersenpump.com; kspann@thechicagourbanleague.org; shilmorgan@chicagomsdc.org; Melinda Kelly; belinda_bcu@att.net; bcunewera@att.net; McKone, Tom; Hernandez, Wilma; info@ihccbusiness.net; carole@blackcontractorsunited.com

Required Attendees: Hampton, Chynna (CHampton1@transitchicago.com); Moore, Shavon; Watson-Wilson, Shondra (SWatson-Wilson@transitchicago.com); Richard Arroyo; MPalaquachi@gmail.com

Optional Attendees: 567-7th-Floor Conf-B-West

Resources: 567-7th-Floor Conf-B-West

Importance: High

Good Afternoon,

PLEASE READ THE FOLLOWING

If unable to attend in person please RSVP that you will be calling in.
CALL IN NUMBER: 302-202-1102
LOG IN CODE: 994602

CTA Diversity Programs would like to invite all of our assist agencies to send out a representative to sit in a discussion about CTA’s 2019 – 2021 Triennial DBE Goal. Our overall Triennial Goal will be posted on our CTA website under our DBE section from Tomorrow August 31st until September 30th for a 30 day comment period. Please review ahead a time. The document can also be found attached.

Date:
Monday September 10, 2018

Time:
10:00am – 11:00am
Location:
CTA Headquarters
567 W. Lake Street
Chicago, IL 60661
Conference room: 5-C
*Upon arrival please go to the front desk with a state ID and they will check you in and give me a call upon your arrival*

We would love it if all our assist agencies could attend our discussion to be able to get the best feedback possible to plan for our 2019-2021 years.
If unable to attend in person a conference call number will be provided.

We just do ask that you or someone from your agency RSVPs (by accepting this meeting invitation, calling or emailing) in order to put them into our system to up to our conference room.

Contact Information is below.

Best,
Natalia Delgado - Quiñones

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Natalia Delgado – Quiñones
Project Specialist I I Diversity Programs
Chicago Transit Authority I 567 W. Lake Street 4th Floor I Chicago, IL 60661
T:312-661-2485 I Diversity T: 312-661-2601 I E: ndquinones@transitchicago.com

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The Chicago Transit Authority’s (CTA) Diversity Programs Department is pleased to announce that you are cordially invited to attend our Open House: This event is regarding our submission to the FTA for our Disadvantaged Business Enterprise (DBE) Triennial Goal. Please come out and join us and learn about our plans for the next three years.

**PLEASE JOIN US!**

**Friday September 14, 2018**
**10:00 A.M. – 12:00 P.M.**

**CTA HQ-Conference Room B & C**
**567 West Lake Street**
**Chicago, IL 60661-1465**

*Please register at the link below:*
https://www.eventbrite.com/e/cta-triennial-fta-dbe-goal-open-house-tickets-49696087335

*RSVPs are encouraged for headcount purposes.*
Chicago Transit Authority
Friday, September 14, 2018

CTA 2019-2021 Triennial Goal
Diversity Programs

<table>
<thead>
<tr>
<th>Company Information</th>
<th>Company Information</th>
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</thead>
<tbody>
<tr>
<td><strong>Company Name</strong></td>
<td>Dependable Bus Equipment</td>
</tr>
<tr>
<td><strong>Address</strong></td>
<td>200 E North St.</td>
</tr>
<tr>
<td><strong>City</strong></td>
<td>Bradley, IL</td>
</tr>
<tr>
<td><strong>State</strong></td>
<td>IL</td>
</tr>
<tr>
<td><strong>Zip Code</strong></td>
<td>60915</td>
</tr>
<tr>
<td><strong>Telephone Number</strong></td>
<td></td>
</tr>
<tr>
<td><strong>E-mail</strong></td>
<td>Gail W. Wallace</td>
</tr>
</tbody>
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<thead>
<tr>
<th>Company Name</th>
<th>Company Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Barnett Consulting</td>
<td>Small Business Specialist</td>
</tr>
<tr>
<td>Andre Barnett, B.S., M.S.A., M.B.A.</td>
<td>(708) 203-0375</td>
</tr>
<tr>
<td><a href="mailto:AndreL.Barnett@yahoo.com">AndreL.Barnett@yahoo.com</a></td>
<td></td>
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</tbody>
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<table>
<thead>
<tr>
<th>Company Name</th>
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</thead>
<tbody>
<tr>
<td>MEPIS®</td>
<td>M.E.P. Infrastructure Solutions, Incorporated</td>
</tr>
<tr>
<td>Yesica L. Flores</td>
<td>Director of Marketing</td>
</tr>
<tr>
<td>36 South Wabash Ave., Suite 310</td>
<td>Chicago, IL 60603</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>Company Name</th>
<th>Company Information</th>
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</thead>
<tbody>
<tr>
<td>Omega Sign &amp; Lighting Inc.</td>
<td>Howard Brody</td>
</tr>
<tr>
<td>Francisco Omeri</td>
<td>Account Executive</td>
</tr>
<tr>
<td>106 W. Wacker Drive</td>
<td>Suite 1501</td>
</tr>
<tr>
<td>Chicago, IL 60606</td>
<td>TEL: 312-726-7505</td>
</tr>
<tr>
<td>FAX: 312-726-7505</td>
<td>email: <a href="mailto:ozzie@raigroup.net">ozzie@raigroup.net</a></td>
</tr>
<tr>
<td><a href="http://www.raigroup.net">www.raigroup.net</a></td>
<td></td>
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<thead>
<tr>
<th>Company Name</th>
<th>Company Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blinderman Construction</td>
<td>Jon LaScala</td>
</tr>
<tr>
<td>224 North Desplaines Street, Suite 650</td>
<td>Estimator</td>
</tr>
<tr>
<td>Chicago, Illinois 60661-1067</td>
<td>p: 312.982.2587</td>
</tr>
<tr>
<td>c: 630.542.2123</td>
<td>e: <a href="mailto:jlascaeo@blinderman.com">jlascaeo@blinderman.com</a></td>
</tr>
</tbody>
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<tr>
<th>Company Name</th>
<th>Company Information</th>
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</thead>
<tbody>
<tr>
<td>Rodriguez and Associates, Inc.</td>
<td>Osvaldo “Ozzie” Rodriguez</td>
</tr>
<tr>
<td>65 E Wacker Place</td>
<td>Suite 1501</td>
</tr>
<tr>
<td>Chicago, Illinois 60601</td>
<td>TEL: 312-726-7505</td>
</tr>
<tr>
<td>FAX: 312-726-7506</td>
<td>email: <a href="mailto:ozzie@raigroup.net">ozzie@raigroup.net</a></td>
</tr>
<tr>
<td><a href="http://www.raigroup.net">www.raigroup.net</a></td>
<td></td>
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Chicago Transit Authority

Friday, September 14, 2018

CTA 2019-2021 Triennial Goal
Diversity Programs

Company Information

DEPENDABLE BUS EQUIPMENT, INC.
Neil Wallace - Treasurer
200 E. North St.
Bradley, IL 60915
Phone: (815) 937-1095
Fax: (815) 937-4072
E-mail: dependablebus@sbcglobal.net
* A Certified DBE Resource *

SIBIS
INSURANCE SERVICES, INC.
San Francisco
NATHANIEL L. SAYLES
220 Montgomery St., Suite 1090
San Francisco, California 94104
415 / 788-8274
415 / 788-8275 fax
email: nsayles@sibisinc.com

Company Information

LANDMARK
Pest Management
Matt Kostalek
Project Manager
2227 Hammond Drive
Schaumburg, IL 60173
224.436.1110 Mobile
773.614.7378 Office
www.landmarkpest.com
matt.kostalek@landmarkpest.com

DMAZ Corporation
Donald A. Marcus, MBA
Director, Business Development
7301 N. Lincoln Avenue, Suite 150
Lincolnwood, Illinois 60712
224-534-7665 Office
773-562-4055 Cell
312-473-0139 Fax
www.DmaZCorp.com
donald.marcus@DmaZCorp.com
Healthcare and Pharmaceutical Services

Company Information

CML CONSULTING LLC
Cherise M. Livingston, CSM, PMP
Owner / Consultant
1348 W. Gregory St.
Chicago, IL 60606
tel. (773) 882-0025
Cherise@CMLConsulting.net

Juan F. Calahorrano
Director of Operations
jacalahorrano@haciaworks.org
www.haciaworks.org

HACIA
Hispanic American Construction Industry Association
650 W. Lake Street - Suite 415 - Chicago, IL 60661
(312) 575-0389 FAX (312) 575-0544 Cell (830) 501-7448
Chicago Transit Authority

Friday, September 14, 2018

CTA 2019-2021 Triennial Goal

Diversity Programs

Company Information

Michael K. Knutson
Vice President

MEADE
Safety • Quality • Performance • Since 1908

6850 W. 62nd Street
Chicago, Illinois 60638
Direct (708) 588-2594
Office (708) 588-2500
Fax (708) 588-2501

Cell (708) 243-1775
mkk@meade100.com
www.meade100.com

Kweku Thompson
Contact Manager
Wash Construction
929 West Adams Street
Chicago, Illinois 60607
Tel: 312-746-1528
kthompson@washgroup.com

FREEDOM WEST, Inc.
CONSTRUCTION MANAGER

"DBE Certified"
"WBE - Woman Owned"

Stan Anton, Jr.
Senior Project Manager
s.anton@freedomcontractorsllc.com

36207 S. Gray Road
Custer Park, IL 60418
Phone 708-768-3529

THOMAS Nutter
Vice President
Area Construction Manager
tom.nutter@wsp.com

WSP USA
Suite 4200
Chicago, IL 60602
www.wsp.com

Number of Employees

NICOLAS TORRES,
President

25261 Sunderlin Road
Plainfield, IL 60585

773-458-4200
torrescontracting@gmail.com

Enlight Contracting, LLC

Deepi Ahuja
President

847-312-2337
enlightcontracting@gmail.com
645 N. Kingsbury St. Unit 1208. Chicago, IL 60654
Triennial FTA DBE Goal:

Thank you for taking the time to review the proposed Triennial FTA DBE goal. Please take a moment to fill out the form below with your comments on the proposed DBE goal. Your feedback is appreciated.

RPM - NAICS Code 9999 heavily skews the percentages. In reality, there will likely be participation in the contingency dollars. This puts excess stress on other codes, but also may limit the overall participation percentage of DBE firms. Percentage increase is possible.

Triennial - NAICS Code 2379 has a high DBE percentage with the value of work under $1m. May be difficult to achieve with such a small estimated value of work.

Name: Jon Lascala
Organization/Title: Blinderman Construction Estimator
Address: 229 N. Des Plaines Ste. 650
City: Chicago State: IL ZIP: 60661
E-mail Address: jlascala@blinderman.com

Thank you for taking the time to provide comments on CTA's proposed DBE goal. Until September 30, 2018, comments may be submitted via U.S. Mail or e-mail to:

Chicago Transit Authority
Diversity Department
Attn: DBE Liaison Officer
567 West Lake, 4th Floor
Chicago, IL 60661

Diversity@transitchicago.com
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YOUR ETHNOLOGY IS EXCELLENT. THANK YOU FOR DOING SUCH A THOROUGH JOB OF EVALUATING OUR CAPABILITIES AND SETTING YOUR GOALS TO PROVIDE SIGNIFICANT OPPORTUNITIES TO DISADVANTAGED BUSINESSES.

Name: MATT KOSTACEK
Organization/Title: LANDMARK POST MANAGEMENT / VA
Address: 2227 HAMMOND AVE
City: SCHAUMBURG State: IL ZIP: 60173
E-mail Address: MATT.KOSTACEK@LANDMARKPOST.COM

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THE GOAL CALCULATIONS ARE PRESENTED WELL AND SHOW A DETAILED EFFORT TO COMPLETE THEM.

Name: MICHAEL K. KAULING
Organization/Title: MEADE, INC., VICE PRESIDENT
Address: 6850 W. 62ND ST.
City: CHICAGO State: IL ZIP: 60638
E-mail Address: mkk@meade100.com

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