Chicago Transit Authority
567 W. Lake Street, Chicago, IL, 60661
Dorval R. Carter, Jr., President

CTA Buying Plan
Projected Contracting Opportunities
Ellen McCormack, Vice President,
Purchasing & Supply Chain

www.transitchicago.com
Dear Potential Vendors:

Thank you for your interest in doing business with the Chicago Transit Authority.

Each year, the CTA issues hundreds of contracts for parts, materials and services that enable us to provide nearly 1.7 million rides on an average weekday, and approximately 530 million rides a year. From small, specialized parts for our rail cars to printing maps and informational materials, each of these commodities and services help us offer the best possible service to our customers.

This guide provides a look ahead at contracts the CTA plans to pursue in the coming 18 months, and will be updated regularly. The goal of this document is to increase the CTA's vendor pool by making the business community aware of upcoming contracting opportunities. This will allow vendors sufficient time to register as a vendor with CTA, become familiar with the bid process, and prepare to bid on contracts that are of interest to them.

You'll also find information on our Disadvantaged Business Enterprise (DBE) and Small Business Programs, along with other helpful resources.

We hope you find this information useful, and that you choose to do business with the CTA.

Sincerely,

\[Signature\]

Dorval R. Carter, Jr.

President
Thank you for viewing the Buying Plan for the CTA. The goal of the document is to inform potential vendors of contracting opportunities.

In this document you will find:

- Projected Contracting Opportunities
- Information on how to Register your firm with CTA
- Frequently Asked Vendor Questions
- Information on our Disadvantaged Business Enterprise program
- Disadvantaged Business Enterprise Program Assist Agencies
- Small Business Program Information
- List of Contacts in Procurement
- List of CTA Sister Agencies and Illinois UCP Participants

Thank you,

Ellen McCormack, Vice President
Purchasing & Supply Chain
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<td>Static And Digital Advertising Services Five Years &amp; Five Options.</td>
<td>Revenue Generating</td>
<td>120</td>
<td>4Q 2019</td>
<td>TBD</td>
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<td>Technology</td>
<td>Professional Services</td>
<td>IBM Hardware Maintenance Contract For IBM Equipment Including Services, Storage And Tape Library For A Period For Up To 36 Months.</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>4Q 2019</td>
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<tr>
<td>Technology</td>
<td>Professional Services</td>
<td>IBM Software Licensing, Maintenance And Support.</td>
<td>$50,001 - $100,000</td>
<td>36</td>
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<tr>
<td>Technology</td>
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<td>Storerver System Licensing, Maintenance &amp; Support For A Period Of Up To 24 Months</td>
<td>$100,000 - $250,000</td>
<td>24</td>
<td>4Q 2019</td>
<td>TBD</td>
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<td>Transit Operations</td>
<td>Commodities</td>
<td>Off The Shelf Uniforms For CTA'S Operating And Maintenance Personnel</td>
<td>$10,000,000 - $15,000,000</td>
<td>60</td>
<td>4Q 2019</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver Aluminum Sheets, Plates And Shapes Of Various Sizes &amp; Thickness</td>
<td>$100,001 - $250,000</td>
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<td>Supply Chain</td>
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<td>4Q 2019</td>
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<tr>
<td>Supply Chain</td>
<td>Professional Services</td>
<td>Task Order Basis - Vendors for High Volume Parts for Bus, Rail, Infrastructure, and Facilities</td>
<td>Over $20,000,001</td>
<td>60</td>
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<td>TBD</td>
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</tr>
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<td>Transit Operations</td>
<td>Commodities</td>
<td>Parts kits for 5000-series railcars</td>
<td>Over $20,000,001</td>
<td>24</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Federal</td>
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<tr>
<td>Transit Operations</td>
<td>Commodities</td>
<td>Furnish And Deliver Of A Minimum Of 50 And A Maximum Of 400 Low Floor, 40 Ft, Accessible, Air Conditioned, And Conventional Clean Diesel Transit Buses.</td>
<td>Over $20,000,001</td>
<td>60</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Federal</td>
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<tr>
<td>Infrastructure</td>
<td>Work Services</td>
<td>Furnish All Labor, Material, Tools, Equipment And Insurance To Test, Inspect, Repair And Maintain CTA's Clean Agent, Fire Prevention System At Various Locations.</td>
<td>$500,001 - $1,000,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<td>Facilities Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver MCA Treated Southern Yellow Pine.</td>
<td>$100,001 - $250,000</td>
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<td>4Q 2019</td>
<td>TBD</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Work Services</td>
<td>Provide Various Pipe, Duct And Equipment Insulation Services.</td>
<td>$500,001 - $1,000,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
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<td>Transit Operations</td>
<td>Work Services</td>
<td>On Site Servicing Of Parts Washer Tanks For Twelve Months With One Option Year.</td>
<td>$500,001 - $1,000,000</td>
<td>24</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Purchasing</td>
<td>Professional Services</td>
<td>Furnish the Publication of Notices in an English language newspaper of general circulation in the Chicago metropolitan area, as required, for a period of up to 36 months from date of contract execution.</td>
<td>$50,001 - $100,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Technology</td>
<td>Professional Services</td>
<td>VMware Server Virtualization Software Licenses and Support for a period of up to 36 months.</td>
<td>$100,000 - $250,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Transit Operations</td>
<td>Professional Services</td>
<td>Develop Detailed Mechnical &amp; Electrical Drawings Through Reverse Engineering For Various Rail &amp; Bus Parts</td>
<td>$100,000 - $250,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Work Services</td>
<td>Furnish All Labor And Equipment Required To Test, Inspect, Repair &amp; Maintain CTA's Water Based Fire Prevention Systems At Various Locations System-Wide.</td>
<td>$2,000,001 - $2,000,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Commodities</td>
<td>Various Electrical Supplies</td>
<td>$5,000,001 - $10,000,000</td>
<td>48</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Revenue</td>
<td>Work Services</td>
<td>Furnish, Install, Operate And Maintain Food Vending Machines At CTA employees Facilities For Five Years With Two One-Year Options</td>
<td>$250,001 - $500,000</td>
<td>84</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Furnish &amp; Deliver Tee Rail, Joint Bars, Insulated Rail Joint Kits, Fish Plates, &amp; Contact Base Rail/Restrainting Rail Joints</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Railroad Track Fastening Materials</td>
<td>$2,000,001 - $3,000,000</td>
<td>36</td>
<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Technology</td>
<td>Professional Services</td>
<td>Autodesk Maintenance Plan Enrollment For Various AutoCAD Engineering Software Programs For A Period Of Up To 12 Months</td>
<td>$50,000,01 - $100,000</td>
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<td>4Q 2019</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Railroad Ties</td>
<td>$5,000,001 - $10,000,000</td>
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<td>4Q 2019</td>
<td>TBD</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Commodities</td>
<td>Various Types Of Welding Gases.</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Steel Doors, Doorframes And Door Hardware.</td>
<td>$50,001 - $100,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver Of Mobile Cranes With Cams.</td>
<td>$1,000,001 - $2,000,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Commodities</td>
<td>Furnish and Deliver Various Types Of Plywood.</td>
<td>$50,001 - $100,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
</tr>
<tr>
<td>Technology</td>
<td>Work Services</td>
<td>Furnish All Labor, Material, Tools, Equipment And Insurance To Perform Preventive Maintenance And Repairs As Required On Various HVAC Equipment Located At The Apparel Center.</td>
<td>$50,001 - $100,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Purchase Anchoring Products Manufactured By HIRI Corporation.</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Finance</td>
<td>Professional Services</td>
<td>ERP to furnish the pickup, processing and deposit of CTA's bulk currency for a period of up to 24 months from date of contract execution</td>
<td>$2,000,001 - $3,000,000</td>
<td>24</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Facilities Maintenance</td>
<td>Work Services</td>
<td>Servicing And Reconditioning Of 1.5 &amp; 2.5 Gallon Fire Extinguishers.</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Law Department</td>
<td>Professional Services</td>
<td>Specialized service to furnish copies of sensitive legal and medical records as required by the CTA Law Department for a period of up to 36 months from date of contract execution</td>
<td>$500,001 - $1,000,000</td>
<td>36</td>
<td>1Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Property and Real Estate</td>
<td>Professional Services</td>
<td>Professional Property Management Services For CTA Office Location At 567 W. Lake Street, 120 N. Racine And The CTA Warehouse Facility Located At 901 W. Division For (3) Years With (2) Funded One-Year Options</td>
<td>Over $20,000,001</td>
<td>60</td>
<td>1Q 2020</td>
<td>TBD</td>
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<tr>
<td>Planning</td>
<td>Professional Services</td>
<td>Red Line Extension Transit-Oriented Development Study</td>
<td>TBD</td>
<td>TBD</td>
<td>1Q 2020</td>
<td>TBD</td>
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This document will be updated periodically and is subject to change without notice.
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<td>Commodities</td>
<td>Supply And Deliver Bulk Rock Salt As Required</td>
<td>$250,001 - $500,000</td>
<td>12</td>
<td>2Q 2020</td>
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<td>Non-Federal</td>
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<td>Transit Operations</td>
<td>Commodities</td>
<td>Furnish And Deliver Various Sizes Of Steel Welding Rods</td>
<td>$190,001 - $250,000</td>
<td>12</td>
<td>2Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<td>Retirement Plan</td>
<td>Professional Services</td>
<td>Investment Management And Consulting Services For The Supplemental Retirement Plan For Five Years</td>
<td>Fee based</td>
<td>60</td>
<td>2Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<td>Safety</td>
<td>Work Services</td>
<td>Underground Storage Tank Service, Repair, Parts And Calibration</td>
<td>$1,000,001 - $2,000,000</td>
<td>36</td>
<td>2Q 2020</td>
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<td>Non-Federal</td>
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<td>Purchasing</td>
<td>Commodities</td>
<td>RFP - For Just-In-Time Purchasing Of Office Supplies For A Period Of Three Years With Two One-Year Funded Options From Date Of Contract Execution</td>
<td>$2,000,001 - $3,000,000</td>
<td>60</td>
<td>2Q 2020</td>
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<td>Non-Federal</td>
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<tr>
<td>Purchasing</td>
<td>Professional Services</td>
<td>Task Order Basis - Various Professional Services Categories Including Organization And Management Consulting, Internal Audit, Financial, Data Analytics, Risk Management, Supply Chain, Inventory Management And Information Technology</td>
<td>$5,000,001 - $10,000,000</td>
<td>60</td>
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<td>Facilities Maintenance</td>
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<td>Ultra Low Sulfur Diesel And Blended Fuel For Non-Revenue Vehicles As Required, For A Period Of Five Years With The Option To Extend The Contract Terms Up To Five Years Over The Base Contract.</td>
<td>$5,000,001 - $10,000,000</td>
<td>120</td>
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<td>Facilities Maintenance</td>
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<td>E-30 And E-85 Ethanol Gasoline For Non-Revenue Vehicles As Required Beginning For A Period Of Five Years With The Option To Extend The Contract Terms Up To Five Years Over The Base Contract.</td>
<td>$10,000,001 - $15,000,000</td>
<td>120</td>
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<td>Hi-Pressure Cleaning Of Plumbing &amp; Sewer Work.</td>
<td>Over $20,000,000</td>
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<td>To Provide Snow Removal Services On An As Needed Basis.</td>
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<td>Furnish And Deliver Sheet Steel Of Various Sizes &amp; Thickness</td>
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<td>Needle Beams, Ponderosa Pine Lumber, And Scaffold Planks</td>
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<td>Power and Way Maintenance</td>
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<td>Rental Of Lift Equipment</td>
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<tr>
<td>Law Department</td>
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<td>RFP - To Provide Professional Broker Services Which Will Include Insurance Brokerage And Risk Management Services, As Required For A Period Of Up To Five Years</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>3Q 2020</td>
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<tr>
<td>Finance</td>
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<td>RFP - For Independent Audit Services To Conduct A Financial Audit Of Authority Financial Records</td>
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<td>Rail Station Management</td>
<td>Work Services</td>
<td>High Altitude Industrial Window Cleaning At Various CTA Locations</td>
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<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Crushed Lime/aggregate.</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Work Services</td>
<td>Tree Trimming And Removal Service.</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>3Q 2020</td>
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<tr>
<td>Communications</td>
<td>Commodities</td>
<td>Lease, Maintenance And Supplies For A HP Pagewide xL 4500 MFP Printer For A Period Of Up To Three Years From Date Of Contract Execution</td>
<td>$50,001 - $100,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Real Estate</td>
<td>Professional Services</td>
<td>Consulting Services To Provide Real Estate Appraisal And Appraisal Review Services As Required For A Period Of Up To 36 Months From Date Of Contract Execution</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<td>Finance</td>
<td>Professional Services</td>
<td>Treasury Management Services Including Receipt And Payment Solutions For A Period Of Three Years With Two One-Year Options.</td>
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<td>60</td>
<td>3Q 2020</td>
<td>TBD</td>
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<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver Granite Ballast.</td>
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<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Infrastructure</td>
<td>Work Services</td>
<td>Furnish Labor, Materials, Tools, Equipment And Related Items Necessary To Install Concrete Sidewalks, Driveways, Stabs, Curbs, Foundations And Related Construction Work At Various Locations Within The Chicago Metropolitan Area.</td>
<td>$1,000,001 - $2,000,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Communications</td>
<td>Professional Services</td>
<td>RFP - For Leasing, Maintenance, And Repair Of High Performance Digital Copiers For Use In CTA's Regraphsographics Department For A Period Of Up To 36 Months With A Funded One-Year Option</td>
<td>$500,001 - $1,000,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver Sheet Steel Of Various Sizes &amp; Thickness</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
</tr>
<tr>
<td>Facilities Maintenance</td>
<td>Work Services</td>
<td>Furnish Hot Mix Asphalt And Paving Services</td>
<td>$1,000,001 - $2,000,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
</tr>
<tr>
<td>Transit Operations</td>
<td>Work Services</td>
<td>Furnish All Labor, Material, Tools &amp; Equipment Required To Repair UHF 2-Way Mobile Radios &amp; Interface Boxes Used On CTA's Bus Fleet</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>3Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Ready Mix Concrete.</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>4Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
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<tr>
<td>Power and Way Maintenance</td>
<td>Work Services</td>
<td>Repair/Rebuild AC And DC Electric Motors.</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>4Q 2020</td>
<td>TBD</td>
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<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Track Hardware.</td>
<td>$1,000,001 - $2,000,000</td>
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<td>4Q 2020</td>
<td>TBD</td>
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<td>Power and Way Maintenance</td>
<td>Commodities</td>
<td>Furnish And Deliver Structural Steel And Other Steel Products</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>4Q 2020</td>
<td>TBD</td>
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<td>Technology</td>
<td>Professional Services</td>
<td>Maintenance And Technical Support For 750 User Licenses Of Adobe Software To Be Provided As Required For A Period Of Up To 36 Months</td>
<td>$100,001 - $250,000</td>
<td>36</td>
<td>4Q 2020</td>
<td>TBD</td>
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<td>Transit Operations</td>
<td>Commodities</td>
<td>Contact Rail Insulator Components</td>
<td>$250,001 - $500,000</td>
<td>36</td>
<td>4Q 2020</td>
<td>TBD</td>
<td>Non-Federal</td>
</tr>
</tbody>
</table>
Dear Vendor,

The Chicago Transit Authority (CTA) appreciates the opportunity to conduct business with a variety of vendors and suppliers. Vendors are encouraged to visit Business Diversity Management System to register with the CTA and/or apply for DBE certification. The Chicago Transit Authority Business Diversity Management System is designed to empower vendors to manage their own vendor record including DBE registration, maintain accurate contact information, and submit contract payment details online. The system is accessible to all firms doing business with the Chicago Transit Authority and includes the following key features:

- Fully automated online vendor registration
- Fully automated online DBE Certification Application process including New and No Change Affidavit Renewal Applications
- Tracking of vendor certification applications from submission to completion
- Automated communication with contractors via email regarding compliance issues
- Prime submission of subcontractor utilization reports with automated tracking of contract goals and participation
- Enhanced online certified directory with keyword search capabilities
- Immediate feedback on accuracy of certified payrolls
- Elimination of paper-based reporting and lengthy payroll validation delays

You may become an approved vendor by following these steps:

- Visit the CTA Business Diversity Management System
- In the CTA Vendor Registration (i-Supplier) section, select the CTA Vendor Registration button
- Select the New iSupplier Registration link; complete the Prospective Supplier Registration information and submit for approval
- Once approved, an email will be sent with an initial logon password. The email address supplied becomes your user name
- To update your company information, return to the CTA Vendor Registration (i-Supplier) section, select the CTA Vendor Registration button
- Select the Existing iSupplier Registration link and logon with your email address.

CTA is notifying registered vendors of new publicly advertised contracting opportunities via e-mail. The e-mail notifications will be sent to CTA registered vendors by commodity.

If you have questions regarding vendor registration, please contact CTA Vendor Management, at ctavendormgmt@transitchicago.com.
Q: What is a bid?
A: A bid is a vendor's response to a solicitation by the CTA requesting offers to provide a specific good or service.

Q: Does a vendor need to be pre-qualified to bid?
A: No, unless otherwise specified in the bid documents.

Q: How does a vendor become pre-qualified to bid, and how often does the vendor need to renew its pre-qualified status?
A: As stated above, a vendor does not necessarily need to be pre-qualified to bid. A specific solicitation may require pre-qualification. Regardless, vendors are encouraged to register online, which allows vendors to be added to CTA's database of vendors.

Q: Can I apply to become a CTA vendor online?
A: Yes, you can apply to become a CTA vendor online by accessing CTA's online vendor/DBE registration system at https://cta.dbesystem.com/.

Q: Does a vendor need to pay for bid specifications?
A: When required, you must provide CTA with cash, a cashier's check, certified check or money order, as specified in the solicitation document.

Q: Can bids be faxed?
A: Bids must be returned to the CTA in accordance with the instructions to bidders in the bid document.

Q: When are bids opened?
A: Bids are opened and read publicly at 2:00 p.m. Central time on the date indicated in the bid document.

Q: What disqualifies a bid?
A: You should read the specification document very carefully and follow all instructions. This is the best way to keep from having your bid disqualified. In general, bids can also be disqualified for being late or missing information and/or signatures. Please note that this is not an exhaustive list of reasons for disqualification.

Q: When will the contract be awarded?
A: Contracts are awarded either following consideration and approval by the Board or in accordance with the established process for contract approval and execution for contracts that do not require Board ratification.

Q: Do I need to bid through a DBE?
A: No.

Q: What is a Disadvantaged Business Enterprise (DBE)?
A: A DBE firm is a firm which has been certified as being owned and controlled by socially and economically disadvantaged individuals pursuant to 49 CFR, Part 26. More information regarding CTA's DBE program can be obtained from the Diversity Programs Department.

Q: Where do I find a DBE firm?
A: The Illinois Unified Certification Program publishes and maintains a Disadvantaged Business Enterprise Directory which lists all certified DBEs in the State of Illinois. A copy of this directory can be viewed on CTA's web page, www.transitchicago.com, or you may contact the Diversity Programs Department for a copy of the directory.
Vendor FAQ Continued

Q: Who are approved DBEs?
A: Please contact the Diversity Programs Department for a copy of the aforementioned Disadvantaged Business Directory.

Q: Can I apply and/or maintain my DBE certification online?
A: Yes, you can apply for DBE certification, and maintain your certification if you are already certified, online by accessing our online vendor/DBE registration system at https://cta.dbesystem.com/.

Q: I'm a certified DBE with the City of Chicago/the Illinois Department of Transportation/Metra/Pace, am I also certified with CTA?
A: CTA is a certifying participant in the Illinois Unified Certification Program (IL UCP). All firms that are certified with the IL UCP through one of the aforementioned agencies are considered DBEs with CTA.

Q: What is the Illinois Unified Certification Program (IL UCP)?
A: The IL UCP is based on the concept of reciprocity among the participants. The certifying participants of the IL UCP are CTA, the Illinois Department of Transportation, the City of Chicago, Metra and Pace. If a firm is approved as a DBE firm, this certification is honored by all IL UCP participants in the State of Illinois.

Q: How do I fill out Schedules C and D?
A: Please follow the directions in the bid document or contact the DBE department if you need additional assistance.

Q. If my firm is currently certified as a Minority Business Enterprise (MBE) or a Woman-Owned Business Enterprise (WBE), do I need to be certified as a DBE?
A. Yes, CTA only recognizes certified DBEs on its contracts.

Q. If my firm is currently certified as an MBE or WBE, will it qualify as a DBE?
A. Although some of the qualification criteria are similar, the DBE program is a federal program and the M/WBE programs are local programs. Therefore, you must submit a new application for DBE status.

Q: How do I get my product approved?
A: CTA’s Technical Services department typically reviews and approves new products.

Q: Please explain the difference between purchases over and under $40,000.00?
A: Purchases in excess of $40,000.00 are required to be publicly advertised for bid. Purchases that are $40,000.00 or less do not require public advertisement.

Q: Is everything that CTA purchases posted on the website?
A: No. All competitively bid procurements over $40,000.00 are posted on the CTA’s web site.

Q: Are the CTA Projected Contracting Opportunities an exhaustive list of all future contracts?
A: No. This document will be updated periodically and is subject to change without notice. Some contract opportunities may not be included in this plan.
Disadvantaged Business Enterprise (DBE) Program

The Chicago Transit Authority's Disadvantaged Business Enterprise (DBE) Program demonstrates the Authority's continued commitment to the success of all disadvantaged businesses, including but not limited to minority and women-owned businesses, by promoting contracting opportunities to DBEs within transit.

The Chicago Transit Authority's DBE program is governed by the U.S. Department of Transportation (USDOT) Regulations, 49 CFR Part 26.

DBE Certification Eligibility
Persons who are Black Americans, Hispanic Americans, Asian-Pacific Americans, Subcontinent Asian Americans, Native Americans or Women are presumed to be socially disadvantaged; other persons may be found to be socially disadvantaged on a case-by-case basis. In order to be economically disadvantaged, the majority owner(s) cannot have a personal net worth that exceeds $1.32 million and the average annual gross receipts of the firm over a 3 year period cannot exceed $23.98 million or the standard set by the Small Business Administration for the industry in which the firm operates. In addition to meeting these requirements, you will need to provide documentation of your past experience and expertise in the area(s) of specialization for which your firm is seeking certification.

For more information or questions, please refer to the Regulations at 49 CFR Part 26, or call (312) 681-2601 or email diversity@transitchicago.com.

Illinois Unified Certification Program (IL UCP)
Pursuant to the requirements of the federal regulations, 49 CFR, Part 26, all recipients of federal-aid must implement a "one-stop" certification process for Disadvantaged Business Enterprises. As a result of this requirement, the Chicago Transit Authority, Metra, Pace, Illinois Department of Transportation (IDOT), and City of Chicago have established the Illinois Unified Certification Program (IL UCP).

The IL UCP is based on the concept of certification reciprocity among the agencies. The IL UCP provides "one stop shopping" to applicants for Disadvantaged Business Enterprise (DBE) certification, such that an applicant needs only to apply once for DBE certification. This DBE certification will be honored by all participating IL UCP agencies in the State of Illinois.

DBE Certification Application
The DBE application has been developed to determine whether your firm is eligible for the Authority's DBE program and to provide information regarding the certification of your firm in your designated area of expertise. In order to become certified as a Disadvantaged Business Enterprise, your firm must meet the eligibility standards established by the U.S. Department of Transportation (USDOT) Regulation 49 C.F.R., Part 26. Once a firm is certified by CTA as a DBE, it will be honored by all IL UCP participating agencies. We suggest you contact each agency in order to receive additional information pertaining to their respective bidding opportunities.

You may apply for and maintain your DBE certification on-line, or hard copies of the application can be returned to: Diversity Programs Department
567 W. Lake Street 4th Floor
Chicago, IL 60661

If you need assistance, or have questions regarding completion of the application, please contact us at (312) 681-2601 or email diversity@transitchicago.com.

Diversity Programs Department

www.transitchicago.com
Disadvantaged Business Enterprise (DBE) Assistance Agencies

The following agencies provide various types of assistance to DBE firms with respect to the certification process to become a DBE, bidding on construction contracts, and bid notifications.

**Black Contractors United (BCU)**
12000 S. Marshfield Ave
Chicago, IL 60827

Contact: Michael-Charles Hilson
Phone: (773) 483-4000
Fax: (708) 389-5735
E-mail: mhillson@blackcontractorsunited.com
Web: www.blackcontractorsunited.com

**Services**
Business Development

**Chatham Business Association (CBA)**
800 East 78th St.
Chicago, IL 60619

Contact: Melinda Kelly
Phone: (773) 994-5006
Fax: (773) 855-8905
E-mail: melindakelly@cbaworks.org
Web: www.cbaworks.org

**Services**
Business Development; Certification Assistance; Technical Assistance

**Chicago Minority Supplier Development Council (CMSDC)**
105 W. Adams
Chicago, IL 60603

Contact: Neda Sharp
Phone: (312) 755-2554
Fax: (312) 755-8890
E-mail: nsharp@chicagomsdc.org
Web: www.chicagomsdc.org

**Services**
Business Development; Certification Assistance; Technical Assistance

**Chicago Urban League (CUL)**
4510 S. Michigan Ave.
Chicago, IL 60653

Contact: Sandra Brinston
Phone: (773) 624-8810
Fax: (773) 451-3549
E-mail: sbrinston@thechicagourbanleague.org
Web: www.thechicagourbanleague.org

**Services**
Business Development
Disadvantaged Business Enterprise (DBE) Assistance Agencies - Continued

Federation of Women Contractors (FWC)
5650 S. Archer Ave.
Chicago, IL 60638
Contact: Beth Doria
Phone: (312) 360-1122
Fax: (312) 360-0239
E-mail: fwcchicago@aol.com
Web: www.fwcchicago.com
Services
Business Development

Hispanic-American Construction Industry Association (HACIA)
650 W. Lake St., Suite 415
Chicago, IL 60607
Contact: Juan Calahorrano
Phone: (312) 575-0389
Fax: (312) 575-0544
E-mail: jcalahorrano@haciaworks.org
Web: www.haciaworks.org
Services
Business Development; Certification Assistance; Technical Assistance

Illinois Hispanic Chamber of Commerce (IHCC)
222 Merchandise Mart Plaza, Suite 1212
Chicago, IL 60654
Contact: Alex Alcantar
Phone: (312) 425-9500
Fax: (312) 666-5545
E-mail: alacantar@ihccbusiness.net
Web: www.ihccbusiness.net
Services
Business Development; Certification Assistance; Technical Assistance

Latin American Chamber of Commerce (LACC)
3512 W. Fullerton Avenue
Chicago, IL 60647
Contact: D. Lorenzo Padron
Phone: (773) 252-5211
Fax: (773) 252-7065
E-mail: D.LorenzoPadron@LACCUSA.com
Website: www.LACCUSA.com
Services
• Business Development
• Certification Assistance
• Technical Assistance
Disadvantaged Business Enterprise (DBE) Assistance Agencies - Continued

Philippine American Chamber of Commerce of Illinois (PACCIL)
5850 N. Lincoln Ave., Suite 208
Chicago, IL 60659
Contact: Joseph Cana
Phone: (800) 850-2632
Fax: (800) 505-8753
E-mail: joseph_cana@yahoo.com
Web: www.paccil.org

Services
Business Development; Certification Assistance; Technical Assistance

Women’s Business Development Center (WBDC)
8 S. Michigan Ave., 4th Floor
Chicago, IL 60603
Contact: Jamie Neely
Phone: (312) 853-3477
Fax: (312) 853-0145
E-mail: jneely@wbdc.org
Web: www.wbdc.org

Services
Business Development; Certification Assistance; Technical Assistance

Women Construction Owners & Executives (WCOE)
308 Circle Avenue
Forest Park, IL 60130
Contact: Mary Kay Minaghan
Phone: (708) 366-1250
Fax: (708) 366-5418
E-mail: mkm@mkmservices.com
Website: www.wcoeusa.org

Services
• Business Development
• Certification Assistance
• Technical Assistance
Small Business Program

This program is designed to give certified small businesses an opportunity to bid as primes on CTA contracts. Under this program, selected contracts below $3,000,000 in value will be set aside for small businesses to bid on as prime contractors.

Small Business Program Eligibility
The following requirements must be met to be eligible to participate in the CTA Small Business Program:

1. The company must meet the size standards for small businesses as revised by the Small Business Administration (SBA) for the industry in which it works.
2. Must not exceed personal net worth of $1.32 Million.

Small Business Verification
The CTA only accepts Small Business Verification from the IL UCP DBE certified firms

The CTA will post on its website any additional entities that perform small business certification or verification methods that will be accepted by CTA, as they become available.

For information and/or questions about the CTA Small Business Program, please call (312) 681-2601 or e-mail diversity@transitchicago.com.

Diversity Programs Department

THE POLICY OF THE CHICAGO TRANSIT AUTHORITY (CTA) IS TO FACILITATE COMPETITION BY SMALL BUSINESSES AS PRIME CONTRACTORS OR SUBCONTRACTORS ON CTA CONTRACTS. THE CTA SMALL BUSINESS PROGRAM IS PART OF ITS DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM AS SET FORTH IN UNITED STATES DEPARTMENT OF TRANSPORTATION (USDOT) REGULATIONS, 49 C.F.R. PART 26.39

How to find out about contracting opportunities under the Small Business Program

All contracting opportunities are posted on the CTA’s web site, www.transitchicago.com and are also advertised in the classified section of the Chicago Sun-Times newspaper.

Solicitations available for download will include all forms required to submit a complete bid to the CTA, including DBE forms and special conditions regarding the Small Business Program.

CTA is notifying registered vendors of new publicly advertised contracting opportunities through e-mail notifications. The e-mail will be sent to CTA registered vendors by commodity. For vendor registration and other information, visit the CTA Vendor Registration and DBE Certification Web site at https://cta.dbesystem.com/.

All vendors are encouraged to update their business contact information including their e-mail address and list of commodities as all notifications will be sent via e-mail.

1. For questions regarding vendor registration, contact CTA Vendor Management, at ctavendormgmt@transitchicago.com.
2. For questions about bid notifications, contact the CTA Bid Office at (312) 681-2050.
3. For questions about the Small Business Program, contact the Diversity Department at (312) 681-2601.

www.transitchicago.com
### Procurement Contacts

<table>
<thead>
<tr>
<th>Name/Title</th>
<th>E-mail</th>
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<tbody>
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## Diversity Programs Contacts

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<th>E-mail</th>
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</table>
CTA Sister Agencies

City of Chicago
Chicago Housing Authority (CHA)
Chicago Park District (CPD)
Chicago Public Schools (CPS)
City Colleges of Chicago (CCC)
Cook County of Illinois
Metropolitan Pier & Exposition Authority (MPEA)
Metropolitan Water Reclamation District of Greater Chicago (MWRDGC)
Public Building Commission of Chicago (PBC)

Illinois UCP Participants
City of Chicago
Chicago Transit Authority (CTA)
Illinois Department of Transportation (IDOT)
Metropolitan Rail Corporation (Chicago Commuter Railroad) (Metra)
Suburban Bus Division of the Regional Transportation Authority (Pace)
Chicago Transit Authority
Purchasing Department
567 W. Lake Street, 4th Floor
Chicago, IL, 60661
(312)664-7200

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