
2008 ANNUAL REPORT

March 11, 2009

**CHICAGO TRANSIT AUTHORITY
OFFICE OF INSPECTOR GENERAL**



OIG Mission Statement

The mission of the Office of Inspector General is to promote and ensure economy, efficiency, effectiveness, and integrity in the administration of the programs and operations of the CTA.

Audit Highlights



Peer Review Conducted September 2008

- Performed by American Public Transportation Association using three transit professionals (LA, DC and Atlanta)
- Tested for compliance with the Government Auditing Standards
- OIG received the highest possible rating—was found to be in full compliance with the standards
- Certain aspects found to be industry “best practices”
- Link to APTA’s report on CTA website

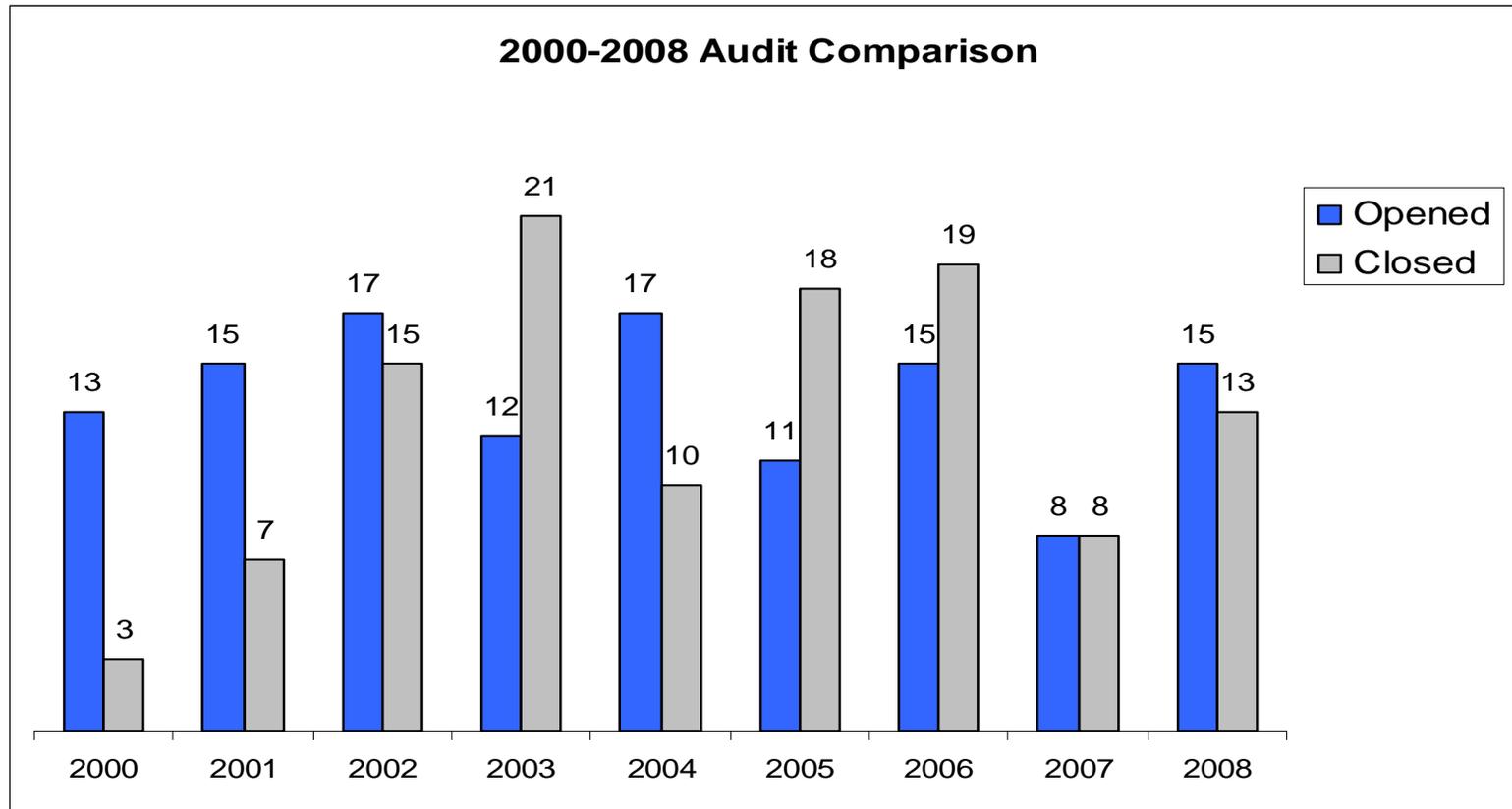


Audits and Reviews Performed

- 13 Audits Completed
- 15 Audits Opened
- Areas reviewed included: Brown Line, Legacy Payroll Conversion, Express Vending Machines, Bus and Rail Car Cleanliness, Customer Parking Facilities, Fuel Purchase and Distribution Controls, and Controls Related to the Purchase of Electric Service



Audits/Initiatives 2000-2008



Investigation Highlights

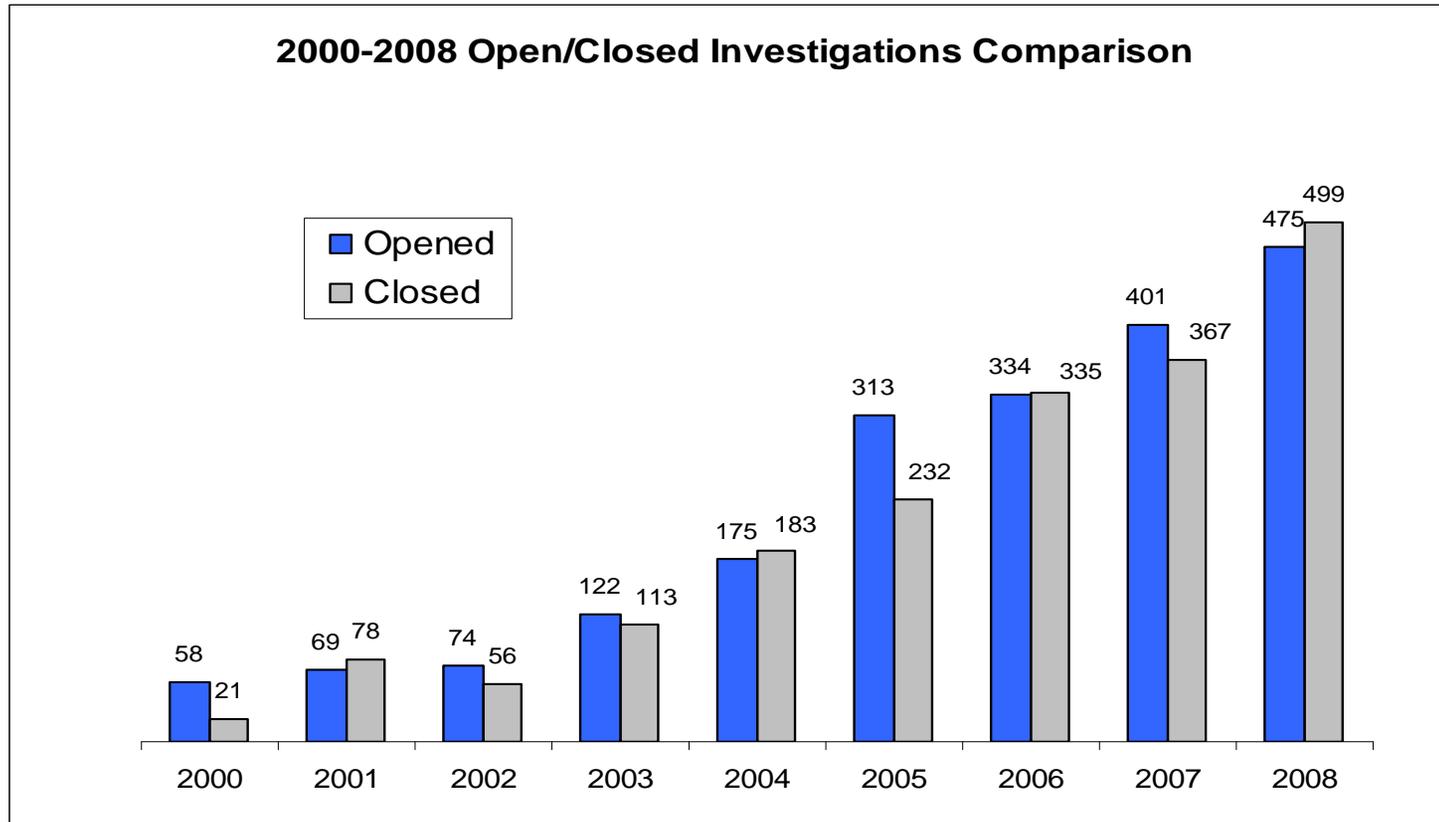


Fare Media Theft by Vendor

- CTA fare media vendor defaulted on payments to CTA for fare cards
- CTA halted distribution of new cards to vendor
- Vendor created three fictitious business identities which were approved as distributors of fare media
- Vendor received additional fare media and defaulted on payments totaling over \$200,000
- Vendor arrested and charged with felonies—case pending
- CTA seeking monetary recovery



Investigations 2000-2008



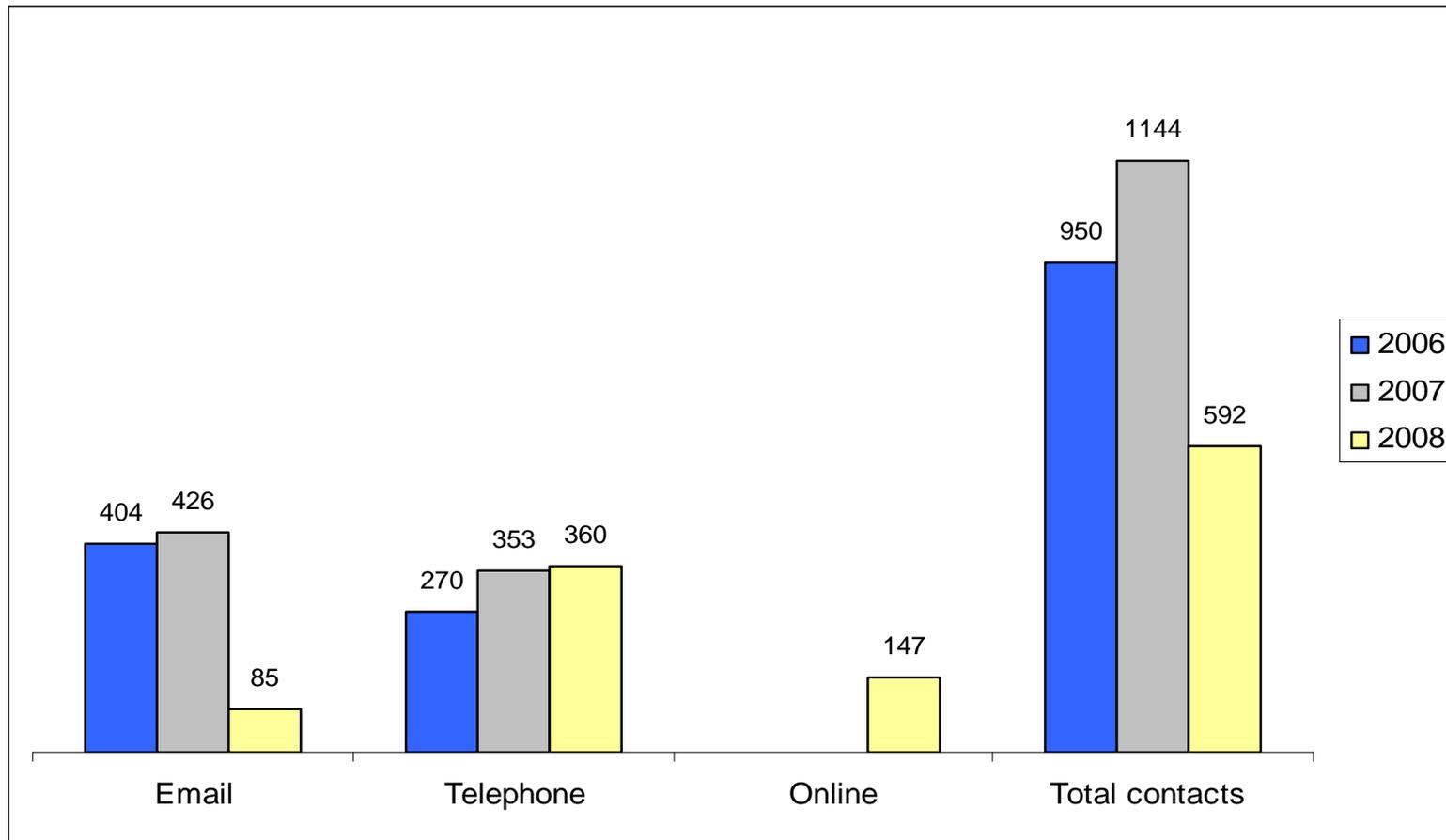
Administrative Actions	
January 1– December 31, 2008	
Employee Discharges	37
Employee Suspensions	5
Employee Retirements/Resignations	23
Employee Reprimands/Warnings	24
Contractor Debarments	3
Totals	92



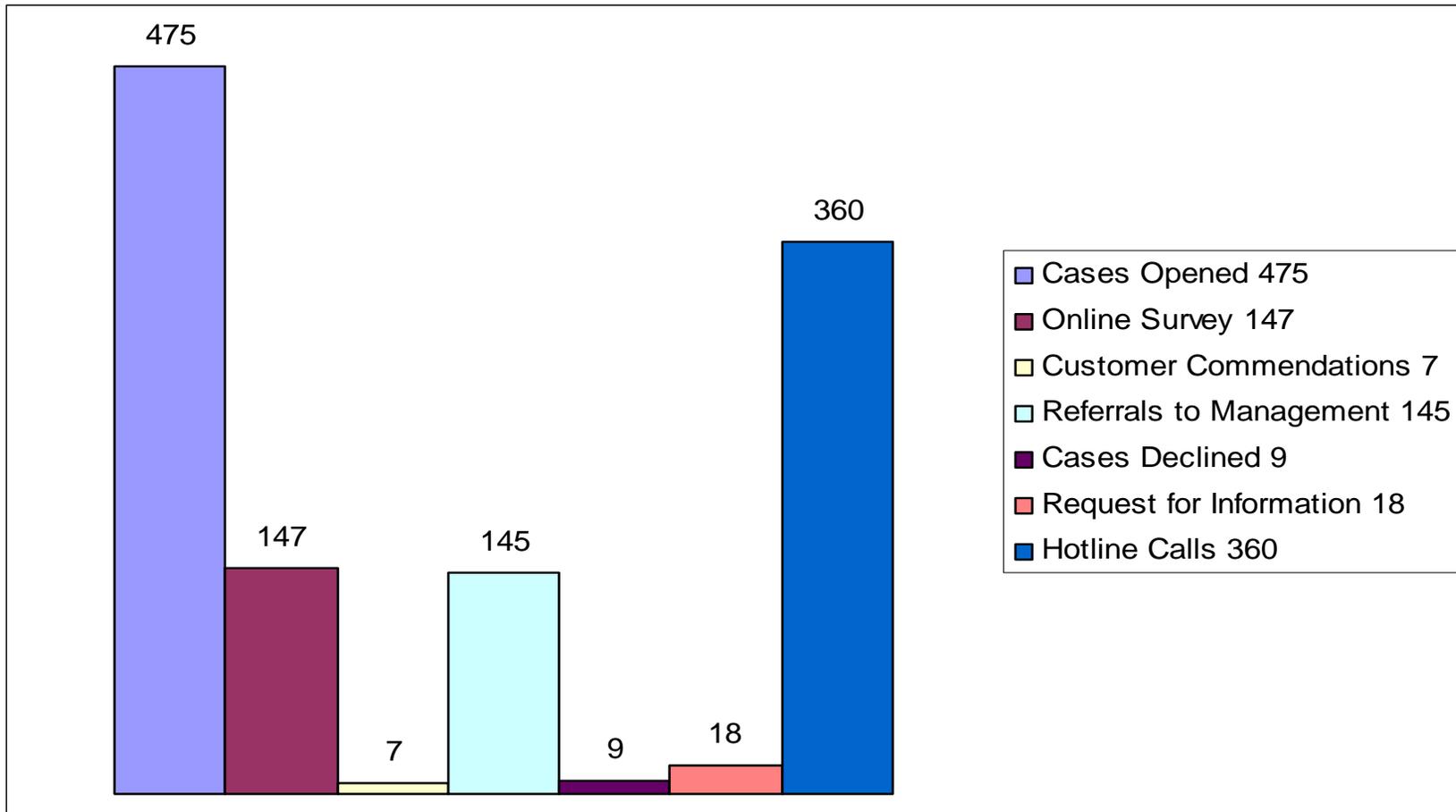
Statistics



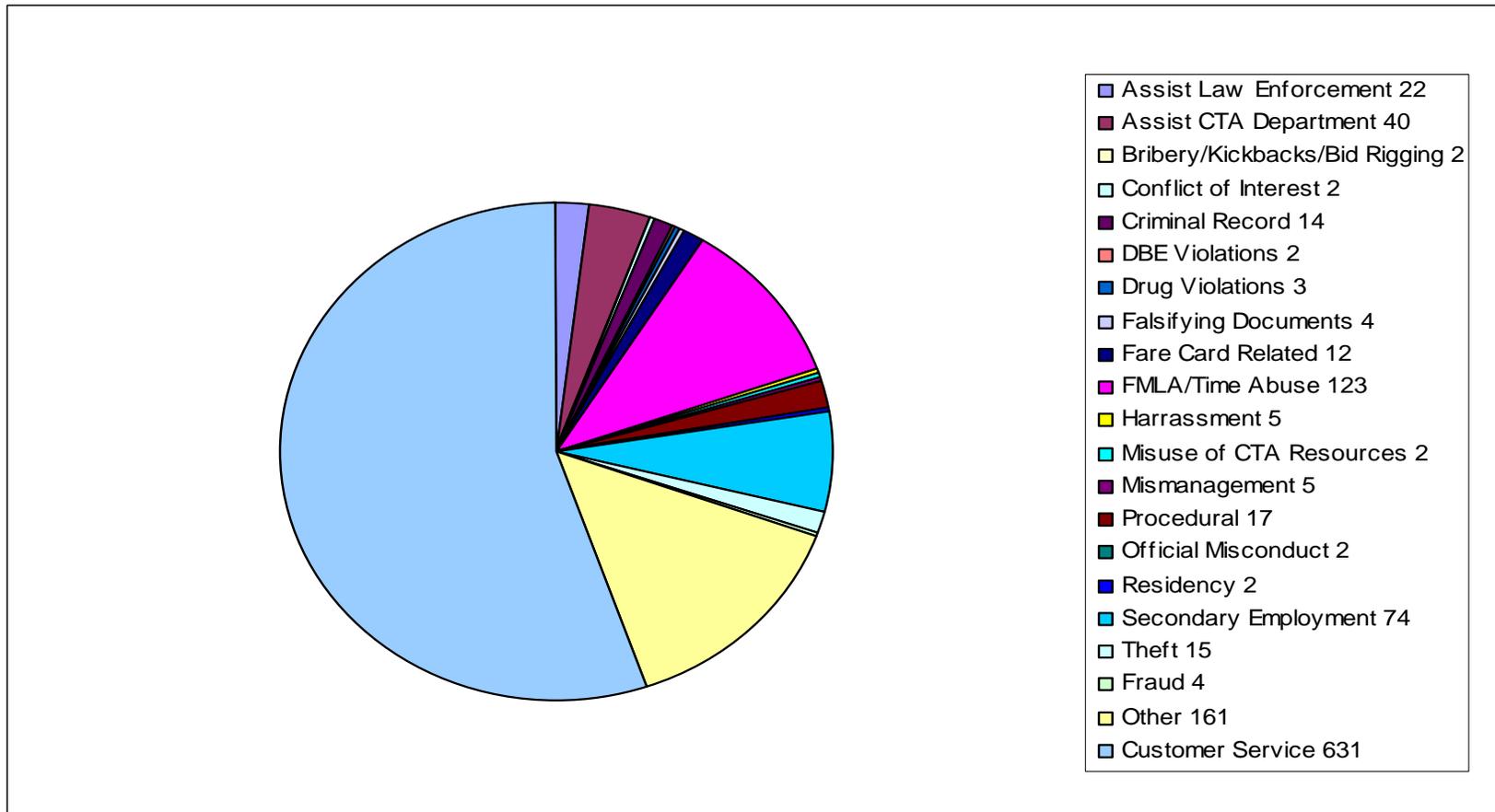
Hotline Contacts-2006-2008



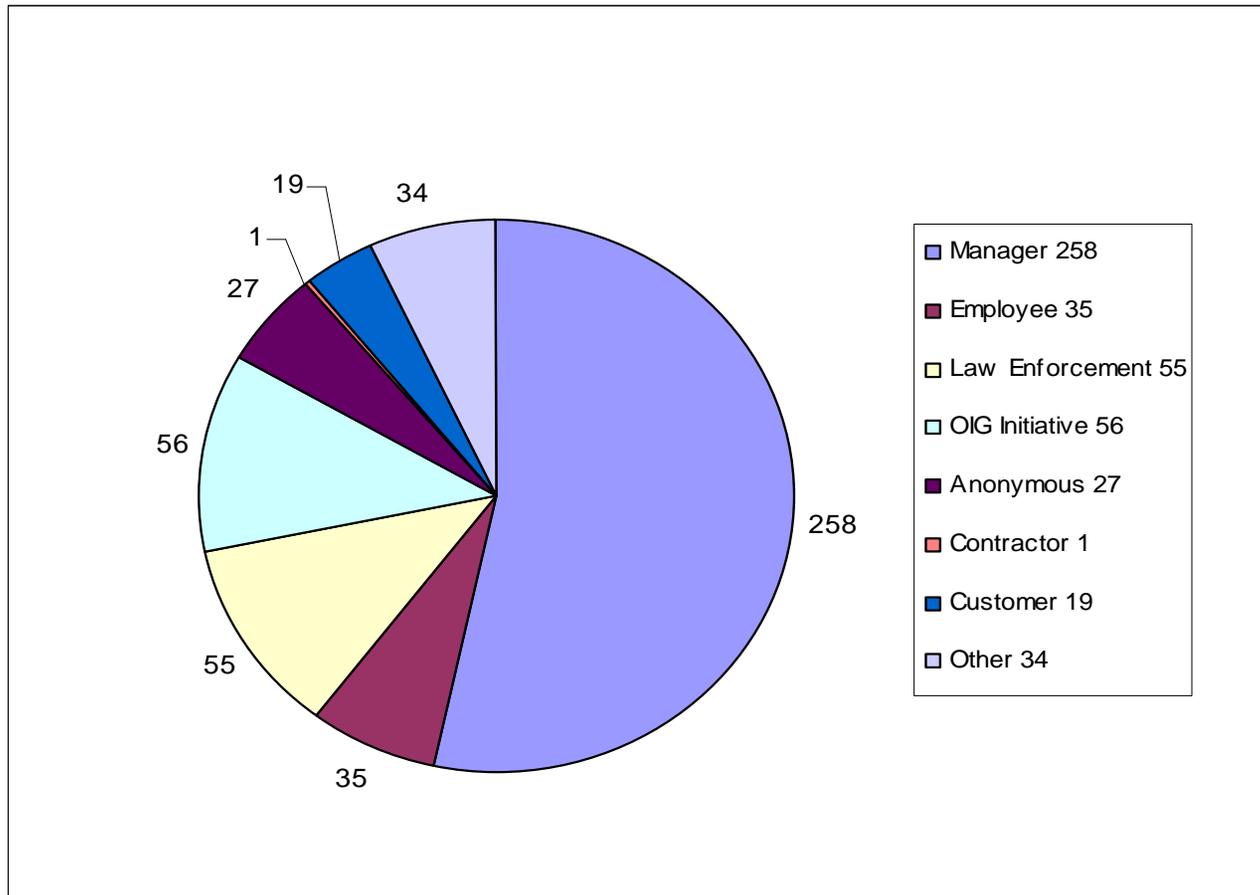
2008 Contacts



Hotline-Nature of Allegations



Source of Referrals



Other Significant Accomplishments

- Implemented AutoAudit—an interactive real-time database for OIG staff and CTA Management
- Improved communications with the Chicago Police Department regarding arrested employees and contractors
- Designed and implemented an electronic hotline survey form
- Developed and published a brochure for CTA employees on how to respond to OIG findings



Look Ahead for 2009

- Completion of Risk Assessment/Process Mapping by Crowe Horwath—a joint project with the Comptroller's Office
- Augment audit services through use of IT specialist as recommended by the APTA Peer Review Team
- Enhancement of audit QA processes
- Additional staff professional certifications



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